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Docket No. 0756-1896

2814

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of

) Art Unit: 2814

Sashi OHTANI et al.

) Examiner: P. Cao

Serial No. 09/197,767

Filed: November 23, 1998

For: SEMICONDUCTOR DEVICE

AND PROCESS FOR PRODUCING

THE SAME

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with The United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on 11-10-03

Name: Rose M. Fichtel

INFORMATION DISCLOSURE STATEMENT

Commissioner of Patents
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Sir:

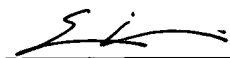
In accordance with the provisions of 37 C.F.R. 1.56 and 37 C.F.R. 1.97-1.99, Applicant submits herewith a Form PTO-1449 listing references known to Applicant and requests that these references be made of record in the above identified application. Copies of the references listed are submitted herewith in accordance with 37 C.F.R. 1.98(a).

U.S. Patent 6,400,428 was cited in an Office Action dated October 23, 2003 in copending family Application Serial No. 09/550,598.

This Information Disclosure Statement is being submitted after the issuance of a first Office Action, therefore the requisite \$180 fee is attached.

The Commissioner is hereby authorized to charge fees under 37 C.F.R. §§1.16, 1.17, 1.20(a), 1.20(b), 1.20(c), and 1.20(d) (except the Issue Fee) which may be required now or hereafter, or credit any overpayment to Deposit Account No. 50-2280. A duplicate copy of this sheet is attached.

Respectfully submitted,


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Substitute for form 1449A/PTO		Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>		Application Number	09/197,767
		Filing Date	November 23, 1998
		First Named Inventor	Hisashi ONTANI et al.
		Group Art Unit	2814
		Examiner Name	Phat X. Cao
		Attorney Docket Number	0756-1896
Sheet 1		of	

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OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²

Examiner Signature		Date Considered	
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¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

¹ Unique citation designation number. ² Applicant is to place a check mark here if English language Translation is attached.

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